

Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office		Attorney Docket Number: 1010722-991151	Serial Number: 10/686,897
	Applicant: Christopher Rygaard			
	Filing date: October 15, 2003		Group art unit: Unknown	

U.S. PATENT DOCUMENTS

Examiner Initial	Patent number	Date	Name	Class	Sub- class	Filing date if appropriate
/IK/	5,633,932	05/1997	Davis et al.			
	5,850,516	12/1998	Schneier			
	5,850,517	12/1998	Verkler et al.			
	5,963,731	10/1999	Sagawa et al.			
	5,968,176	10/1999	Nessett et al.			
	5,974,549	10/1999	Golan			
	6,009,456	12/1999	Frew et al.			
	6,065,118	05/2000	Bull et al.			
	6,141,754	10/2000	Choy			
	6,148,327	11/2000	Whitehead et al.			
	6,173,405	01/2001	Nagel			
	6,189,103	02/2001	Nevarez et al.			
	6,192,354	02/2001	Bigus et al.			
	6,233,601	05/2001	Walsh			
	6,272,528	08/2001	Cullen et al.			
	6,308,181	10/2001	Jarvis			
	6,539,416	03/2003	Takewaki et al.			
	6,615,232	09/2003	Suzuki et al.			
/IK/	6,622,157	09/2003	Heddaya et al.			

FOREIGN PATENT DOCUMENTS

Document number	Date	Country	Class	Sub- class	Translation YES	NO
/IK/ EP0942370A1						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/IK/	<u>Intrusion Detection with Mobile Agents</u> , Computer Communications Journal, Special Issue on Intrusion Detection, Jansen
/IK/	<u>Determining Privileges of Mobile Agents</u> , Proceedings of the Computer Security Applications Conference, December 2001. Jansen
/IK/ ✓	<u>A Privilege Management Scheme for Mobile Agent Systems</u> , First International Workshop on Security of Mobile Multiagent Systems, Autonomous Agents Conference, May 2001. Jansen

/IK/	<u>A Denial of Service Resistant Intrusion Detection Architecture</u> , Computer Networks, Special Issue on Intrusion Detection, Elsevier Science BV, November 2000. Mell et al.
✓	<u>Countermeasures for Mobile Agent Security</u> , Computer Communications, Special Issue on Advanced Security Techniques for Network Protection, Elsevier Science BV, November 2000. Jansen
✓	<u>Privilege Management of Mobile Agents</u> , National Information System Security Conference, October 2000. Jansen et al.
✓	<u>Mobile Agent Attack Resistant Distributed Hierarchical Intrusion Detection Systems</u> , Second International t Workshop on Recent Advances in Intrusion Detection, September 1999, Purdue University. Mell et al.
✓	<u>Applying Mobile Agents to Intrusion Detection and Response</u> NISTIR 6416, September 1999. Jansen et al.
✓	<u>Mobile Agent Security</u> , NIST Special Publication 800-19, August 1999. Jansen et al
✓	<u>Agents for the Masses: Is it Possible To Make Development of Sophisticated Agents Simple Enough To Be Practical?</u> IEEE Intelligent Systems, Special Issue on Agents, May-June, 1999. Bradshaw et al.
✓	<u>Network Security Testing Using Mobile Agents</u> Third International Conference and Exhibition on the Practical Application of Intelligent Agents and multi-Agent Technology. London, UK March 1998. Karygiannis
✓	<u>A Framework For Multi-Mode Authentication: Overview and Implementation Guide</u> , NISTIR 7046 August 2003. Jansen et al.
✓	<u>Picture Password: A Visual Login Technique for Mobile Devices</u> , NISTIR 7030, July 2003. Jansen et al.
✓	<u>Security Policy Management for Handheld Devices</u> , The Int'l. Conference on Security and Management (SAM'03), June 2003. Jansen et al.
✓	<u>Authenticating Users on Handheld Devices</u> , Proceedings of the Canadian Information Technology Security Symposium, May 2003. Jansen
✓	<u>Policy Expression and Enforcement for Handheld Devices</u> , NISTIR 6981, May 2003. Jansen et al.
✓	<u>Assigning and Enforcing Security Policies on Handheld Devices</u> , Proceedings of the Canadian Information Technology Security Symposium, May 2002. Jansen et al.
	PCT International Search Report dated January 2, 2003 corresponding to PCT US02/21186.
	Office Action dated December 18, 2003 for U.S. Patent Application S/N 09/764,548 filed January 18, 2001
	Office Action dated December 22, 2003 for U.S. Patent Application S/N 09/591,034 filed June 9, 2000
↓	Office Action dated December 31, 2003 for U.S. Patent Application S/N 09/758,941 filed January 10, 2001
↓ /IK/	Office Action dated December 31, 2003 for U.S. Patent Application S/N 09/645,028 filed August 23, 2000

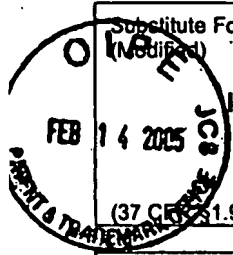
Examiner:	/Insun Kang/	Date Considered:	05/24/2007
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner: /Insun Kang/	Date Considered: 05/24/2007
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	


 Substitute Form PTO-1449
 (Modified)

 U.S. Department of Commerce
 Patent and Trademark Office

 Attorney's Docket No.
 18511-010001

 Application No.
 10/686,897

**Information Disclosure Statement
 by Applicant**

(Use several sheets if necessary)

(37 CFR 1.98(b))

 Applicant
 Christopher A. Rygaard

 Filing Date
 October 15, 2003

 Group Art Unit
 2154

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (Include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
/IK/	AQ	Jansen et al., "Mobile Agent Security", NIST Special Publication 800-19: pp 1-38, August 1999
	AR	
	AS	
	AT	

Examiner Signature

/Insun Kang/

Date Considered

05/24/2007

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.